

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	1	Attorney Docket Number	DE 020166
-------	---	----	---	------------------------	-----------

**Complete if Known**

Application Number	10/518,825
Filing Date	12/21/2004
First Named Inventor	P.K. Bachmann, et.al
Art Unit	2873
Examiner Name	

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/HS/		CHEN Y-C ET. AL.: Ultrafast Optical Switch Properties of Single-wall Carbon Nanotube Polymer Composites at 1.55 mu/m: vol. 73; May 19, 2002; USA;	
/HS/		BRENNAN ME. ET.AL; Nonlinear Photoluminescence from Multiwalled Carbon Nanotubes; vol. 4461; pages 56-64; August 2001; USA.	
/HS/		WEI Y. Y. ET. AL; Effect of Catalyst Film Thickness on Carbon Nanotube Growth by Selective Area Chemical Vapor Deposition; vol. 78 no. 10; pages 1394-1396; March 2001; USA.	
/HS/		ZHAOXIA JIN ET. AL.; Nonlinear Optical Properties of Some Polymer/Multi-Walled Carbon Nanotube Composites; vol. 318; pages 505-510; March 2000;	

Examiner Signature	/Hibret Stambovsky/	Date Considered	10/23/2007
--------------------	---------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Form PTO-1449  
U.S. DEPARTMENT OF COMMERCE (REV.7-80) PATENT AND  
TRADEMARK OFFICE

Atty. Docket No. Serial No.  
DE 020166

107518825

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Applicant  
Peter K. BACHMANN et al.

Filing Date  
CONCURRENTLY      Group

**U.S. PATENT DOCUMENTS**

Ex. Int.		Document Number	Date	Name	Class	Sub- class	Filing Date If Approp.
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

**FOREIGN PATENT DOCUMENTS**

		Document Number	Date	Country	Class	Sub- Class	Trans.	Yes	No
HS/	AG	W O 8 9 0 0 7 1 4	January 26, 1989	PCT	G02F	3/00		X	
	AH								
	AI								
	AJ								
	AK								

**OTHER (Including Author, Title, Date, Pertinent Pages, Etc.)**

AL		
AM		
AN		

Examiner /Hibret Stambovsky/ Date Considered 10/23/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609,  
Draw line through citation if not in conformance and not considered. Include a copy of  
this form with next communication to applicant.